EXHIBIT "C" - Brasfield Deposition

Joseph Papin

vs.

University of Mississippi Medical

Deposition of:

Molly Brasfield

January 21, 2021

Vol 1



Raising the Bar!

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IN THE UNITED STATES DISTRICT COURT
 1
         FOR THE SOUTHERN DISTRICT OF MISSISSIPPI
                      JACKSON DIVISION
 3
     JOSEPH PAPIN
 4
                                                PLAINTIFF
 5
                 CIVIL ACTION NO. 3:17-CV-763-CWR-FKB
     V.
 6
 7
     UNIVERSITY OF MISSISSIPPI
     MEDICAL CENTER; DR.
     LOUANN WOODWARD, IN HER
 8
     OFFICIAL CAPACITY; AND
 9
     DR. T. MARK EARL, IN HIS
     INDIVIDUAL CAPACITY
10
                                               DEFENDANTS
11
               DEPOSITION OF MOLLY BRASFIELD
12
     CORPORATE REPRESENTATIVE OF UMMC PURSUANT TO RULE
13
                         1.310(b)(6)
14
         Taken at the instance of the Plaintiff at
15
    Whitfield Law Group 660 Lakeland East, Suite 200
          Flowood, Mississippi 39232, on Thursday,
16
                      January 21, 2021,
                  beginning at 9:10 a.m.
17
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23
24
                         REPORTED BY:
25
                ROBIN G. BURWELL, CCR #1651
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1	APPEARANCES:	-
2		
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1	Page 4 MOLLY BRASFIELD,
2	having been first duly sworn, was examined and
3	testified as follows:
4	EXAMINATION BY MR. MORGAN:
5	Q. Ms. Brasfield, if you could, state your
6	full name for the record, please?
7	A. Molly Brasfield.
8	Q. We just met a moment ago, Ms. Brasfield.
9	My name is Ryan Morgan. I represent Dr. Joey
10	Papin on a case he has brought against UMC. Have
11	you ever had your deposition taken before?
12	A. I have.
13	Q. How many times ballpark?
14	A. A dozen.
15	Q. What type of cases were you deposed in
16	before?
17	A. Employment related. All employment
18	related cases.
19	Q. Similar to this where you're testifying
20	on certain corporate policies and things of that
21	sort?
22	A. I've done that once before. This is
23	only my second time doing that since assuming the
24	chief HR role at UMMC. Prior, it was as a witness
25	to whatever case it was.

Page 5 1 If you could, -- you sound like Okay. ο. 2 you're a pro at depositions. Α. No. 3 I'm sure Tommy has done the same thing, 4 Q. too, we all kind of say the same dos and don'ts of 5 6 depositions. Yes or no answers are preferred over 7 uh-huh. (Affirmative response.) or Huh-huh. 8 (Negative response.) If it happens, I'm just 9 going to prompt you. Not trying to be a jerk, just trying to make sure it's a clear yes or no on 10 11 the record. If I ask a question that just does 12 not make sense -- I also can quarantee that will 13 happen -- then please ask me to rephrase. Not If you need a break, we'll take a 14 offended. 15 Only exception is if there is a question that is pending then answer the question and we 16 17 can take a break. I don't think we're going to go 18 too long anyways but if you need one, great. 19 I do have to ask you two questions that I hate to ask but I have to. Number one is have 20 21 you ever been convicted of a crime before? 22 Α. No, I have not. 23 Perfect. And number two is are you on ο. any sort of medication, drugs, allergy medicine, 24 25 whatever, that would affect your memory?

Page 6 1 No, I am not. Α. 2 Q. Good. That makes it easy. When you 3 were -- let me back up. If you could, give me 4 kind of a brief general job history of yourself at 5 UMC? I started employment at UMMC in 6 7 April 2009 for the first six months. My title was 8 something akin to manager of HRIS. I transitioned to the director of human resources for academics 9 and research in around October 2009. I remained 10 11 in that position until September of 2019. At that time, my title in the regular position changed to 12 executive director of human resources for 13 academics research and service areas and I 14 simultaneously accepted an interim role as the 15 chief human resources officer. I served in both 16 17 of those roles until April 2020 when I accepted 18 the position of chief human resources officer. 19 And so the position of chief human resource officer, is that -- you're overseeing all 20 21 the HR for the entire UMMC? 22 Α. Yes, sir. 23 Backing up a step before that, when you Q. 24 were the executive director, you said the academic 25 research, and I know there were some other terms.

Page 7

- 1 If you could, just describe what kind of subset of
- 2 the University that was?
- 3 A. Yes. In both the executive director
- 4 position and the prior director position, I was
- 5 the leader for the business partner team that
- 6 supported the academic mission area, the research
- 7 mission area and all of our institutional service
- 8 areas such as finance, campus police.
- 9 Q. Okay. And under those descriptions,
- 10 that would also fall like the residency program
- 11 that we're here about today?
- 12 A. Yes. The house officer and residency
- 13 programs are considered to fall within the
- 14 academics research mission.
- 15 Q. So when you were saying you've had prior
- 16 depositions where you were a witness, what type
- 17 of -- give me an example, if you can, of one where
- 18 you were the witness?
- 19 A. A case in which I was the witness in a
- 20 communication meeting notifying an employee of a
- 21 change to their job.
- Q. Okay. Have you ever had depositions
- 23 where you've been maybe not a direct witness but
- 24 the investigating the person for UMMC and were
- 25 deposed or no?

1	Page 8 A. I'm pausing to think to make sure I'm
2	accurate in my answer. I conduct investigations,
3	but I don't recall having yet been deposed on the
4	contents of an investigation that I conducted.
5	Q. So for the ones where you were the
6	witness, that would be where you interacted with
7	an employee, that employee later brought a claim
8	and then called you as a witness?
9	A. Correct. I have also been deposed
10	because of a human resources business partner that
11	conducted an investigation and as their upline
12	supervisor, I was the supervisor that reviewed the
13	findings and recommendations.
14	Q. Okay. What's your educational history?
15	A. I have a bachelor of art's degree in
16	psychology from Culver Stockton College and a
17	master's in science degree and psychology from
18	Illinois State University and post-master's
19	credits from University of Washington Seattle in
20	human resources management and organizational
21	behavior.
22	Q. What brought you to Mississippi?
23	A. My now ex-husband.
24	Q. Did you work professionally prior to
25	UMMC?

Page 9 I did. 1 Α. 2 Q. Again, briefly describe your prior work 3 history, please? Just prior to UMMC, I was employed in 4 human resources at Blue Cross Blue Shield 5 Mississippi in Jackson, Mississippi. 6 Prior to 7 that, I was employed in Springfield, Missouri for 8 Webco Incorporated/CES Group. My occupation there 9 was a combination of human resources, change management, quality, document control. 10 Prior to 11 that, I was employed at Highline Community College 12 in the Seattle, Washington area and I was the assistant director in the extended learning 13 14 program, which is akin to what you would think of as continuing education in the colleges that we 15 have here in Mississippi. Prior to that, I was 16 17 the choral music director for Jackson Academy here 18 in Jackson, Mississippi. 19 Very cool. You understand that today Q. 20 you are here as a corporate representative for 21 UMMC? I do. 22 Α. 23 You are here to testify specifically Q. 24 about two topics from our rather lengthy 25 deposition notice. Is that fair to say?

Molly Brasfield January 21, 2021

Page 10 1 Α. That's correct. 2 Q. We marked it yesterday as Exhibit 1 to 3 Dr. Earl's deposition; I won't remark it again. 4 I'll show it to you to make sure we are all on the 5 same page. You are here to testify as to topics 7 and 10 as it relates to HR policies; is that 6 7 accurate? 8 Α. Yes. 9 And just so we can read -- I'll just For No. 7 it is, "The 10 read it into the record. 11 identities including name, address and employment 12 position of each and every individual who played a 13 decision-making, consultive or advisory role in the termination of plaintiff's employment and each 14 such individual's role in the termination of 15 plaintiff's employment." And No. 10 is "All UMMC 16 17 rules, policies and procedures, written or 18 unwritten, which apply to all performance evaluation, remediation and/or disciplinary 19 actions (formal or informal, (preliminary or 20 21 final), concerning house officers and others in 22 plaintiff's former position." 23 I read those correctly, correct? 24 Α. Yes. 25 When you say that you're here to testify Q.

Page 11 1 as to the HR side of things versus the GME side of 2 things, if you can, explain the difference between 3 the two? Α. Our residency programs that are governed 4 under the ACGME and including the selection of 5 house officers for the programs are formed through 6 7 a specific academic related process. 8 employment at UMMC is contingent upon the 9 selection to the program and the successful continuation in the program. 10 And the academic 11 rules and guidelines are governed by the academic mission area leaders, whereas the employment 12 13 related constructs are administered through human 14 resources. I know there's several different 15 ٥. policies, we'll go through them in handbooks and 16 17 whatnot. Are there some that are considered HR 18 and some that are considered academic? Α. 19 Yes. 20 ο. And you'll be able to point out which 21 ones are which? 22 Α. Yes. 23 Let's go ahead and do that. Let's get ο. 24 to No. 10 here, which would be the rules, policies 25 and procedures.

	Page 12
1	(Exhibit 1 marked for identification.)
2	Q. (By Mr. Morgan) This is not the entire
3	one. It's just a select few pages of it. Do you
4	recognize the house staff manual?
5	A. I have knowledge that it exists, but
6	it's not a manual that I refer to or use.
7	Q. Do you know if this would be considered
8	an academic policy or an HR policy?
9	A. It's published by the GME office and so
10	would be considered an ACGME and GME policy. It
11	does appear that it is compiled with the support
12	of operational leaders.
13	Q. Okay. So
14	A. The welcome letter.
15	Q. I am a little confused to be honest with
16	you. So, would this be an academic or
17	A. Academic.
18	Q. I think you said there's some
19	operational leaders in there as well?
20	A. Correct.
21	Q. Which would seem to insinuate to me,
22	correct me if I'm wrong, but seem to insinuate
23	that it could be also HR related if those
24	operation leaders are involved?
25	A. My interpretation of it is that it is an

Page 13 academic policy that has the endorsement of our 1 2 operational officials since the house officers are doing their work within our hospital and health 3 system. 4 Just in general, when residents are 5 Q. being disciplined or suspended or whatever the 6 7 case may be, how do you differentiate between 8 academic and HR policies and which one needs to occur? 9 The first question would be what the 10 If, for instance, the 11 rationale for it is. 12 resident is not progressing academically and 13 they've gone through the academic procedure and 14 are being placed on an academic suspension then that would be an academic swim lane. If the house 15 officer reported to have violated a University 16 17 employment policy then that would be considered an 18 HR matter. 19 Can it be both? Q. 20 Α. Yes. 21 Are there some that qualify for HR and Q. the academic? 22 23 Where we have policies that are overlapping and policies that require the same 24 25 behavior, yes.

Page 14 1 In those situations when that happens, ο. 2 is it just two independent investigations or it is 3 all handled by one group? Α. Typically, because the academic comes 4 first, the academic policy and procedure would 5 The academic findings and actions taken 6 conclude. 7 would be reported to human resources for our 8 review and either concurring that there would be no other employment related action or sanction 9 warranted and if there is then we would -- if it's 10 11 unclear whether or not we would concur then we 12 would conduct our own inquiry. 13 Ο. When you say academic comes first, can 14 you explain that? Is that a policy or is that 15 just how it works in reality? It's how it works in reality since the 16 Α. 17 employment of a house officer is contingent on 18 there being satisfactory terms and enrolled in the academic program. 19 So, even if it's an issue with the house 20 ο. 21 officer that is covered under academic and HR 22 policies, you'll let academic go first and then do 23 your HR review? 24 If it's a dual violation. Α. 25 Q. That's right. Violating both?

Page 15 1 Α. Yes. 2 Q. And just so we're totally clear on the 3 record here, a house officer is a resident? Α. 4 Correct. Have you seen this house staff manual 5 Q. 6 I know you said that you know it exists, 7 but are you familiar with it at all? 8 Α. No. 9 At the very bottom there's these Bates ο. stamps labels, it says Papin dash something, if 10 11 you go to the one that says dash 212. Really, 12 actually 213. That first paragraph says, "House 13 staff shall have the rights of grievance procedures as detailed in the handbook for 14 15 employees of the Medical Center." Do you see 16 that? 17 Α. Yes. 18 Are you familiar with what the handbook Q. 19 for employees of the Medical Center is? 20 Α. Yes. 21 ο. And what is that? 22 Α. It is formerly called the faculty and staff handbook. 23 It contains the employment related policies that apply to our faculty and 24 staff. 25

Page 16 It looks like this? 1 0. 2 Α. Yes. 3 We'll get to that one next. Is that Q. 4 handbook you were just describing, is that considered an HR policy or an academic policy? 5 Α. The faculty and staff handbook is 6 7 considered an employment policy. So that's HR? 8 0. 9 Α. Correct. 10 The next line it references a separate 0. 11 house officer grievance policy. Are you aware of 12 what that policy is? I'm aware that it exists but not aware 13 Α. of the proceedings and the steps under it. 14 15 In your mind would that be an academic ο. policy then? 16 17 Α. Yes. (Exhibit 2 marked for identification.) 18 19 (By Mr. Morgan) I'm handing you Q. Exhibit 2. This is the faculty staff handbook, 20 21 correct? 22 Α. Correct. 23 But this is the same document we were ο. just referencing from Exhibit 1. I know it uses a 24 little bit different name but they are one in the 25

Page 17 1 same, correct? 2 Α. Correct. 3 Fair to say this faculty staff handbook ο. does that -- sort of in the title there -- but 4 5 does that cover every employee of the Medical 6 Center? 7 Α. It does. 8 MR. WHITFIELD: To clarify this is --9 the Exhibit is just an excerpt of the... Yes, it's the same thing as 10 MR. MORGAN: 11 Exhibit 1. I didn't print the whole thing because we're already killing all the trees, well most, 12 13 let's not kill them all. But yes, the full complete version has been produced and this is an 14 15 excerpt. 16 Q. (By Mr. Morgan) If you turn to page --17 if you look at the last two pages, if you look at 18 the second to last page, page 40, of the actual 19 handbook, near the bottom it talks about initial employment period. It talks about how -- I quess 20 21 it's third or fourth line from the bottom there, 22 "employees are entitled to written notice of 23 problems in their work, behavior or conduct that 24 could lead to termination." Do you see that? 25 Α. Yes.

Page 18 When it says written notice, what does 1 ο. 2 that mean? Is there a specific type of written 3 notice or a specific form or anything like that? Α. There are boilerplates and templates 4 5 available for managers to use but those are not Any form of written notice 6 prescriptive. 7 including e-mails or internally-used forms are 8 acceptable. 9 When you say the forms, would this be ο. 10 like a writeup form type thing? 11 Α. Correct, writeup memos, for instance, 12 coaching memos, any locally-used assessment documents. 13 In your mind, even an informal e-mail 14 Ο. would count as written notice? 15 16 Α. Correct. 17 0. So even if it was something as simple as 18 you're doing a poor job at this, do better? 19 Α. Correct. 20 That counts? ο. 21 Α. Yes. 22 Q. I'm sure you would probably like more 23 detail, being in HR it's always better to be more 24 detailed, but even something as small as that would be okay with you? 25

Page 19 1 We tend to encourage managers to match Α. 2 the type of written notice and the content to the spirit and level of the performance issue. 3 instance, documenting in a several-page memo for a 4 first and not egregious performance notes would 5 So in that case we would encourage 6 seem severe. 7 e-mails or something that's a little less formal. 8 As you can imagine, employees receiving memos that look like writeups for each and every concern can 9 10 seem punitive. 11 Q. Somebody is five minutes late the first 12 time, they don't need a 10-page written warning? 13 Α. Correct. (Exhibit 3 marked for identification.) 14 15 Ms. Brasfield, I've ٥. (By Mr. Morgan) handed you Exhibit 3. This is a UMMC Graduate 16 17 Medical Education Evaluation Policy and Grievance 18 Algorithm document. Have you ever seen this 19 before? 20 I have. Α. 21 Q. What is this in a nutshell? 22 Α. The Academic Evaluation Policy and 23 Grievance Algorithm that the GME office publishes 24 for its residents. 25 Q. So, this would have covered Dr. Papin?

1	A. Correct.
2	Q. Is this an academic policy?
3	A. Yes.
4	Q. But this is one that obviously the GME
5	office would have to follow in suspending,
6	terminating, disciplining residents?
7	A. Yes. For academic reasons, of course.
8	Q. Fair to say that you would never need to
9	be involved in applying this policy?
10	A. Correct. My only involvement would be
11	if as a result of this policy and procedure there
12	were employment related actions that needed to be
13	taken then me and my team would be involved in
14	doing that. An example would be if a house
15	officer is being terminated for academic reasons,
16	there would be employment related logistics that
17	the HR team would need to handle, such as ending
18	e-mail access and Epic EHR access, collecting UMMC
19	property.
20	Q. Right. Okay. Now, when you have a
21	situation like we were talking about a few minutes
22	ago where there's kind of a dual violation,
23	academic and HR, academic would do their review.
24	And let's say academic says, okay, this is a
25	violation and then we've gone through this current

Page 21 1 Exhibit 3 evaluation policy we're looking at. 2 When HR then does its second review, are you 3 reviewing to make sure that the GME office 4 followed their own policies? I would not. If I had a doubt at any 5 point that something didn't seem right then I 6 7 would refer to that leader, but it would not be 8 within my purview to ensure that they followed the 9 due process. I would like to make a correction that 10 11 it's not that the GME office's inquiry into an 12 academic policy violation would or has to go 13 first, it just typically is because the issue is escalated first up to the program director and 14 typically they don't then report it to human 15 resources until they have done a level of their 16 17 own inquiry. 18 Q. Got it. Understood. So it's not a have to have to but in the real world it usually works 19 20 out that way? 21 Α. Correct.

- (Exhibit 4 marked for identification.) 22
- 23 (By Mr. Morgan) I've handed you Exhibit Q.
- 24 Is this -- are you familiar with this
- 25 document?

Page 22 I have seen it before, yes. 1 Α. 2 Q. Would this be considered an academic or 3 an employment/HR document? Academic. 4 Α. So this is another one that the GME 5 ο. office would have to follow in regards to 6 7 Dr. Papin or other residents? 8 Α. Yes. 9 Now when -- yesterday, Dr. Earl ο. testified about evaluations and things that 10 11 happened with Dr. Papin. When those evaluations 12 occur, does HR keep a copy of them? No, HR is not involved in the academic 13 Α. 14 performance evaluations. 15 So for a resident like Dr. Papin, what would be contained within the typical HR file for 16 17 him? Prior to the termination stuff that happened 18 here, just kind of the basic beginning part. Benefits, enrollments, any requests for 19 leave, such as family medical leave. 20 21 Q. What about like the contracts he signs with --22 23 Those are retained by the GME office. Α. 24 Do they have to fill out like an Q. 25 employment application?

_	Page 23
1	A. Yes.
2	Q. I know they do like an application for
3	the matching program, but then once they're
4	official and they've matched do they then have to
5	fill out an application through UMMC?
6	A. They do, and it's a rudimentary
7	application with only the necessary data points in
8	order to create the profile in our human capital
9	management system.
10	(Exhibit 5 marked for identification.)
11	Q. (By Mr. Morgan) I'll hand you
12	Exhibit 5. Have you seen this document before?
13	A. I don't recall ever reading through or
14	reviewing it but I do know of its existence.
15	Q. Fair to say this would be an academic
16	policy?
17	A. Yes.
18	Q. Not an HR policy?
19	A. Correct.
20	Q. So again, one of the ones that GME would
21	have to follow was disciplining any resident?
22	A. Yes, I have seen the protocol checklist
23	that is the third page of this document. This is
24	a document that at times if an academic suspension
25	and probation has been followed and has not been

Page 24 successfully remediated, such that they are 1 2 requesting termination, this is a document that's frequently included as the pack of information for 3 our employee relations area to review to ensure 4 5 appropriate documentation for HR purposes should we separate the employee and then receive, for 6 7 instance, a claim for unemployment insurance or an 8 EEOC charge, et cetera. So I have seen this checklist before. 9 10 And that would be from prior incidents ο. 11 where termination and suspension or something was 12 being requested and HR was doing that second review? 13 I don't recall ever seeing it as an HR 14 second review. The times when I recall having 15 seen it was in a case of academic decision to 16 17 terminate the program and the packet that was 18 shared with human resources in responding to an employment claim or an EEOC charge, et cetera. 19 If a resident was being let go for 20 ο. 21 academic reasons only, does HR still review that decision? 22 23 Α. No. 24 At that point it's separately -- it's ο. 25 dealt with through the academic GME side?

Page 25 1 Α. Correct. 2 Q. Let me go back and show you one of the 3 exhibits from yesterday. This is Exhibit 22 from 4 Dr. Earl's deposition. Have you seen this document before? 5 Α. I don't recall seeing this specific 6 7 document. 8 ο. This was a two-page letter that was 9 entered into between Dr. Earl, the program director, and Dr. Papin in January of 2017. 10 Do 11 you recall if you saw this during the time when 12 Dr. Papin --I don't recall. 13 Α. I don't want to interrupt -- if you're 14 ο. 15 reading the whole thing, I'm not asking you any more questions on it. 16 17 Α. Oh, okay. 18 Let me put it back in this so we don't Q. 19 lose the order. 20 Α. Okay. 21 Are you aware of, other than the ones Q. 22 we've gone through here now, any other written 23 rules, policies and procedures that would have applied to Dr. Papin regarding performance 24 25 evaluations from the HR side?

	Page 26
1	A. Performance evaluations specifically?
2	Q. Yes.
3	A. No, not aware of any additional
4	policies.
5	Q. I'm taking it one at a time to make it
6	easier. Are you aware of any other written rules,
7	policies and procedures on the HR side regarding
8	remediation that we have not gone through?
9	A. No, I am not.
10	Q. Same question. Any other written rules,
11	policies and procedures on the HR side relating to
12	disciplinary actions whether formal, informal,
13	preliminary or final?
14	A. The excerpt from the faculty and staff
15	handbook that deals with employment related
16	performance issues.
17	Q. That's the one?
18	A. And issues that are grieveable, issues
19	that are not grieveable, behaviors that are
20	acceptable, behaviors that are not acceptable.
21	Q. None other than that from the HR side?
22	A. Can you reread the question to make sure
23	I'm being thorough?
24	Q. Is any written rules, policies and
25	procedures which apply to disciplinary actions

Page 27 whether formal or informal, preliminary or final? 1 2 Α. No, no others. 3 Now, I'm going to ask the same questions ο. 4 again as far as any sort of unwritten rules, policies and procedures for performance 5 evaluations that relate to house officers on the 6 7 HR side? 8 Α. Not aware of any, no. 9 How about any unwritten rules, policies ο. and procedures on the HR side relating to house 10 officers and remediation? 11 12 Α. No. 13 Q. And then last question on this. unwritten rules, policies and procedures on the HR 14 15 side relating to house officers for disciplinary actions whether formal or informal, preliminary or 16 17 final? 18 Α. The practices that we follow could be considered unwritten. 19 20 ο. The two-step process we were talking 21 about a moment ago? 22 Α. That process, the process we follow if a manager requests termination of an employee or a 23 final written warning of an employee and the 24 process we would follow to review that and make a 25

Page 28 1 decision. 2 Q. What is your typical process to do that? Α. To request from a manager, if they 3 haven't already supplied it, the documentation to 4 support their request. A review of that by the 5 human resources business partner as a preliminary. 6 7 If they feel the packet of documents is sufficient 8 and they are in support of the request, then it is shared with our employee relations team. 9 employee relations team concurs then they would 10 communicate that back to the human resources 11 12 business partner to assist the manager with the 13 notification meeting or the termination. Is that the process that was undertaken 14 ο. 15 here for Dr. Papin? 16 Α. Yes. 17 0. I think that's a good transition into 18 topic number seven. On the HR side, can you list each person who was involved in the decision 19 making or consulting or advisory role for 20 21 Dr. Papin's termination? I would want to refer to the list of the 22 Α. GME committee members since I'm not as familiar 23 with those from memory, but do have knowledge of 24 the committee members that were selected for that 25

Page 29

- 1 scope. For the HR related pieces, I can share the
- 2 people that were involved.
- 3 Q. I think you're here to testify on the HR
- 4 side, right?
- 5 MR. WHITFIELD: She was going to tell
- 6 you everybody that was in the process for seven.
- 7 Q. (By Mr. Morgan) Okay. Then let's do
- 8 that. We'll do it for both sides and then go into
- 9 detail.
- 10 A. Okay. For the human resources side, Pat
- 11 Whitlock, who is a human resources business
- 12 partner and the assigned partner for the GME
- 13 office. Pam Greenwood, who is an HR service
- 14 partner in employee relations. Cecelia Bass, our
- 15 director of employee relations. Myself, at the
- 16 time serving as the upline leader for Pat Whitlock
- in the director of academic research role.
- 18 Dr. Rick Barr, who is the associate Dean for
- 19 graduate medical education and the institutional
- 20 officer.
- Q. When you say institutional officer, what
- 22 do you mean?
- 23 A. For residencies. I believe the acronym
- that's assigned is the DIO.
- Q. What does that mean in the real world,

1	he's just in charge of that?
2	A. He's in charge of the residency program
3	and the following of the GME policies and the
4	ACGME governing policies.
5	Q. Now, is Dr. Barr on when you're
6	listing this, is he on the HR side or on the
7	academic side or both?
8	A. He would have been both.
9	Q. The five names you've given me, these
10	would all be HR individuals?
11	A. Yes.
12	Q. But then Dr. Barr would be both?
13	A. Correct.
14	Q. On the academic side anybody else on
15	the HR side?
16	A. Dr. Mark Earl as the manager of
17	Dr. Papin.
18	Q. Now, would Dr. Earl be also both HR and
19	academic?
20	A. Correct.
21	Q. Anybody else from HR, on the HR side?
22	A. No.
23	Q. What about the academic side?
24	A. Dr. Woodward, as the vice chancellor for
25	the institution. Dr. Steve Bondi, who was the

Page 31 head of the committee. And then the respective 1 2 committee members whom I would need to refer to the document to recall all of their names. 3 Would it be all the names that are 4 Q. 5 listed on Papin Bates stamped 54 in the bottom right? 6 7 Α. Yes, that is correct. 8 Q. Anybody else from the academic side? Not that I'm aware of. 9 Α. 10 So when you say Dr. Earl was on the HR Q. and academic side, what decision did he make on 11 12 the HR side? He did not make the decision, but he 13 Α. 14 made the request for HR to examine the employment related aspects of the behaviors that had been 15 reported. 16 17 0. On the HR side, who or whom was the 18 ultimate decision makers to terminate Dr. Papin? A. Cecelia Bass. 19 So, ultimately it led to she was the one 20 ο. 21 who had to make the call? 22 Α. Correct. 23 Were you involved in the decision at Q. 24 all? I was involved in the decision making, 25 Α.

1	yes.
2	Q. Walk me through how that kind of worked?
3	A. In the review of the packet supplied by
4	the program directorship, I was involved in
5	answering questions of Pam Greenwood, the service
6	partner I mentioned, and Cecelia Bass in helping
7	to distinguish which policies are academic
8	policies versus which policies are employment
9	related policies.
10	Q. And why did you have to do that?
11	A. Some of the questions that the service
12	partner was asking about the case were academic in
13	nature and therefore outside of our wheelhouse.
14	Q. So, you were the one who would say hey,
15	this policy here we don't have to necessarily
16	review because that's academic only?
17	A. Correct.
18	Q. And did you make a recommendation to
19	Cecelia Bass about terminating Dr. Papin?
20	A. I did not.
21	Q. Do you know if anybody else made a
22	recommendation to her?
23	A. I believe Pat Whitlock was in support of
24	the manager's request to terminate. And I did
25	review and concurred, but I did not make the

Page 33 1 recommendation formerly. 2 Q. How does that happen formerly, is it 3 like an e-mail? Typically an e-mail between the business 4 Α. partner to the employee relations team. 5 6 So Pat would have sent an e-mail to ο. 7 Cecelia saying I support the termination? 8 Α. Yes. 9 But you didn't have to do that? ο. 10 Α. Correct. 11 I don't want to put words in your mouth. Q. 12 I would imagine you probably had either verbal 13 conversations or something that expressed you were in support of that decision? 14 15 Correct, the business part, part of our 16 internal business partner process is when a 17 business partner has conducted an investigation 18 and come to findings and recommendations. include me in the communications so that in my 19 review if I see anything in oversight of what the 20 21 business partner did that seemed to be lacking or 22 amiss, I would have opportunity to talk with 23 employer relations about that. And on occasion, in addition to e-mails between the human resources 24 25 business partner team and the employee relations

1	Page 34 team, we also have sitdown meetings to go through
2	documents and ask each other questions to ensure a
3	full understanding. I don't believe that occurred
4	in this case, but I would not have been involved.
5	Q. Are Pat, Pam and Cecelia still employees
6	of UMMC?
7	A. They are.
8	Q. Why was it ultimately up Cecelia, was
9	she just highest up on the hierarchy?
10	A. As the director of employee relations,
11	our process that was in place is for the employee
12	relations director to endorse any decisions to
13	terminate employees at the Medical Center.
14	Q. I was about to ask that. Is she
15	involved in all the decisions?
16	A. Correct. She has a big job.
17	Q. So, we sort of went over the
18	decision-making aspect. For consulting or
19	advisory role are sort of similar. Certainly
20	sounds like you gave at least an advisory opinion
21	verbally that you concurred with the termination.
22	Who else would have done something similar to
23	that, if you know?
24	A. Given a verbal advisory
25	Q. Maybe it wasn't even verbal, maybe it

1	was an e-mail advisory or
2	A. I'm not aware of anyone else besides the
3	four people that I named that were involved in
4	that decision.
5	Q. What did Pam Greenwood do as far as
6	advisory or consulting?
7	A. Much like Pat Whitlock would gather the
8	documents initially and put together either
9	support for a termination request or request other
10	documents, Pam Greenwood would be the first person
11	in the employee relations team to review what Pat
12	submitted and ensure she either endorsed it or
13	asked questions and received additional
14	information in order for her to then provide it to
15	Cecelia for her review. That process is to be
16	most time efficient for Cecelia, so that those
17	kinds of reviews don't come to her until they are
18	fully reviewed and as comprehensive and complete
19	as could be to save her that time.
20	Q. So it sort of starts with Pat oh, I'm
21	sorry, Pam, correct?
22	A. In the employee relations piece, yes.
23	Q. Starts with Pam, makes sure all the info
24	is there, she concurs with the recommendation and
25	then it would go to Pat?

Page 36 1 It would go to Cecelia. Α. Pam reports to 2 Cecelia. 3 ο. So then why does Pat make a formal concurrence to Cecelia? 4 5 If a business partner receives a request to terminate and in their professional opinion 6 7 believe that a different level of sanction would 8 be more appropriate then the business partner 9 talks with and works with the manager on that keeping the employee relations team from having to 10 11 get involved in something that shouldn't advance 12 to that level of review. 13 ٥. Before Pam sent everything to Cecelia, 14 is it -- you may have said this and I just 15 apologize -- did Pat get involved and also give that concurrence at that time or when does that 16 17 occur? 18 Α. Correct. When the manager made the request, Pat was involved in the initial meeting 19 to hear the request and rationale behind it and 20 21 she then was assigned as the business partner to 22 collect all the documents, pose questions as 23 needed to that manager and work her way through her professional opinion about what sanction would 24 be warranted in this particular case. 25 And this

Page 37 process is again in the spirit of time efficiency. 1 2 The employee relations team reviews all requests for the entire institution, whereas a business 3 partner is assigned only a small subset of 4 constituents making it a more manageable workload. 5 6 What role did Dr. Earl have after making ο. 7 the request? 8 Α. Answering questions posed by Pat and/or 9 the employee relations team. 10 Did he have any further subsequent Q. 11 decision-making role or --12 Α. No. Because I think at that time he had 13 Ο. already made the recommendation for --14 15 Α. Correct. 16 -- termination. Q. 17 Α. I would call it a request. 18 (Off the record.) 19 (By Mr. Morgan) We were kind of going Q. through the list of names here of individuals who 20 21 were involved in the decision to terminate 22 Dr. Papin. For Dr. Barr, you said he was both HR 23 and academic. Can you explain what his role on 24 the HR side was? As the GME associate dean, he would give 25 Α.

24

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Q.

Α.

Page 38 1 oversight to Dr. Mark Earl and the request that he 2 was making. Typically with any employee request for sanctions, the upline leader of the manager is 3 also aware of and involved to whatever extent they 4 choose to be. 5 So does that person, like Dr. Barr in 6 ο. 7 this situation, do -- does he make a formal recommendation? 8 I don't believe he did in this case. 9 reviewed the matter and suggested to Dr. Earl that 10 11 it needed to be referred to human resources. 12 ο. And then Dr. Earl referred it to human resources with the recommendation of 13 termination? 14 He sent the information to Dr. Barr. 15 16 Dr. Barr included Dr. Earl in the request to meet 17 and discuss with human resources because he felt 18 that it needed to be escalated to human resources. I don't recall seeing anything in writing other 19 than the request to have the meeting and the 20 21 meeting taking place. 22 Q. Okay. Were you in that meeting? 23 Α. I was.

I recall Dr. Barr, Dr. Earl, myself and

Who else was in that meeting?

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January 21, 2021 Page 39 Pat Whitlock. 1 2 Q. And did Dr. Earl make a verbal 3 recommendation regarding termination? I don't recall specifically what 4 Α. Dr. Earl stated aside from overarching concern 5 about the behaviors. 6 7 So what was his request to HR then, what ο. 8 did he want you all to do? 9 I recall his desire being an appropriate sanction for Dr. Papin for the behaviors and the 10 11 performance and the violations of policy. 12 ο. So do you recall if Dr. Earl ever made a 13 recommendation that he wanted Dr. Papin to be terminated? 14 I don't recall, because I assigned the 15 matter to Pat Whitlock. That would have been 16 17 handled by Pat and I don't recall seeing 18 specifically what, if anything, Dr. Earl was asking for beyond us looking at it. 19 20 So does HR just make an Ο. Okay. 21 independent decision by themselves after reviewing 22 this or do you go back to Dr. Earl and Barr to 23 consult with them and get their "okay"? 24 The way I would word it is that we do

seek consensus and alignment around whatever HR

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the e-mail?

Page 40 1 recommendation we're making. 2 Q. So, who ultimately said this is a 3 terminable offense? I know you said it was 4 Cecelia Bass. But who was the one who said, okay, this is not just suspension or a discipline, this 5 6 needs to be termination? 7 Α. That would have started with Pat 8 Whitlock, me endorsing it. On the employee 9 relations side, I wasn't part of the dialogue between Cecelia and her employee Pam, but I'm 10 aware that Cecelia also felt it should be a 11 12 termination. 13 Ο. Let's go back and look. This is an exhibit from yesterday, Exhibit 23 to Dr. Earl's 14 It's a series of e-mails. 15 deposition. kind of go towards the back -- I guess it's on the 16 17 third page of this exhibit. It has an e-mail from 18 Pat Whitlock from February the 6th, 2017. 19 see that? 20 Α. Yes. 21 Q. And it looks like you were copied on this e-mail, correct? 22 23 Α. Yes. 24 Who is Johnny Gilmore that's copied on ο.

Page 41 Johnny Gilmore was a senior service 1 Α. 2 partner reporting to Cecelia Bass in the employee relations area. 3 And who is Chris Morgan? 4 Q. Chris Morgan is also a senior service Α. partner in the employee relations area reporting 6 7 to Cecelia Bass. Now, this e-mail is being sent to 8 ο. 9 Cecelia Bass, but then it looks like Pam responds, if you look at page 2, with some additional 10 questions or additional information that she is 11 12 looking for. Is that sort of the process you were 13 describing where Pam does the initial review to make sure Cecelia has got everything she would 14 15 need to review? 16 Α. Correct. 17 0. And then you responded, it looks like, 18 regarding with some of the issues you were describing earlier about academic policies and GME 19 policies? 20 21 Α. Correct. 22 Q. So go back to that February 6th e-mail? 23 Α. Yes. 24 If you look at that first paragraph, at ο. 25 the end of that line it says, the director of his

Page 42 1 program feels that the liability is too great to 2 have him continue. Do you see that? Α. Yes. 3 That to me certainly seems like Dr. Earl 4 Q. wanted termination at that time; is that fair to 5 6 say? 7 Could be, yes, I would read it that way. Α. 8 Q. When you get an e-mail like this, is 9 this one where you're like, okay, I need to review and get familiar with this right away, or is it 10 11 more of a, okay, I'm going to let a few more steps 12 occur before I really dive in and get involved? 13 Α. I wouldn't tend to get involved because 14 the HR business partner is expected to independently review and make recommendation. 15 16 if the business partner articulates information 17 that she's gathered from the manager, I trust 18 that. 19 So from this February 6th e-mail Q. until -- looks like Pam responds February the 20 21 15th, so nine days later -- did you do anything in 22 response to this e-mail or was this sort of just a 23 wait-and-see-what-happens approach? I have one-on-one weekly meetings with 24 Α. 25 each of my business partners and so I would have

Page 43 had my one-on-one meeting with Pat where we 1 2 discussed just the status of the case. 3 0. No findings or recommendations yet at 4 that point? 5 Α. Huh-huh. (Negative response.) 6 Now, if you go to the last page of the Q. 7 exhibit --8 Α. I want to make sure I clarify. 9 findings or recommendations from employee relations at that point. 10 11 Q. Yes. 12 Α. Yes. 13 Q. If you go to the last page, the end of Pat's original February 6th e-mail. 14 It says, "Termination of Dr. Papin's employee is the 15 recommendation of the director School of 16 17 Medicine." I'm going to stop there. Who is that, 18 the director School of Medicine? I don't know who she was referring to by 19 I could speculate but that would be 20 that title. 21 unhelpful. 22 Ο. If you have a really good guess you can 23 do that, but if it's purely speculative don't do 24 that. And continuing on the sentence --25 Α. I can deduce that she was referring to

Page 44 She did not articulate his full Dr. Mark Earl. 1 2 title as program director of the surgery resident program in the School of Medicine. 3 4 The second clause says, "Concurred by Q. the associate dean graduate medical education" who 5 is Dr. Barr? 6 7 Α. Correct. 8 Q. And so, you know from the facts of this 9 case that it was Dr. Earl and Dr. Barr who brought 10 this? 11 Α. Correct. 12 So, using our deduction skills would ο. seem to mean she meant Dr. Earl? 13 14 Α. Yes. 15 And so, in this first e-mail she's ٥. 16 making that recommendation and saying she agrees 17 with it right away? 18 Α. Correct. And it does appear from the lapse of time, she sent the e-mail a few days 19 following the last paragraph dated, which suggests 20 21 that during that time that was when she was 22 reviewing all of the facts and formulating her own 23 opinion. But by February 6th, 2017 she had concluded that termination was appropriate. 24 25 Q. In the fourth page of this exhibit,

Page 45 1 there's a January 19th, 2017 meeting reference 2 where it says you attended. Α. Yes. 3 4 Do you recall this meeting? Q. 5 Α. I do. 6 And what was -- besides what was Q. 7 described in this paragraph, what else was 8 discussed or can you recall about that meeting? 9 This was the meeting in which Dr. Barr had requested to tell human resources about the 10 11 case and seek our advice from an HR perspective 12 for the performance issues and potential policy violations. 13 How long did that meeting last ballpark? 14 ο. 15 I don't recall. Typically these Α. meetings are scheduled for 50 minutes or an hour. 16 17 0. For that 50 minutes to an hour, what 18 other items were discussed besides what's listed 19 here? I don't recall anything specific, but by 20 virtue of Jamie Christian the associate general 21 22 counsel being in attendance -- typically, our UMMC 23 legal counsel, when they're attending these meetings, they're lending clarification between 24 the academic and the employment related constructs 25

Page 46 to ensure that each area is staying inside their 1 2 lane from a legal perspective. 3 0. Do you remember that happening and 4 recall that happening in this meeting? I don't. 5 Α. 6 Do you remember if you said anything in Q. 7 particular during the meeting, like if you recall 8 asking a question or making a comment about the situation? 9 I don't specifically recall, no. 10 Α. 11 sure I did, but I don't recall. 12 ο. This is a very broad question but 13 anything else you can remember whatsoever about that meeting we haven't discussed? 14 Α. I did concur that it needed an HR 15 review. 16 Was that your decision to make? 17 Q. It would have been mine had Pat not 18 Α. concurred. 19 20 ο. Was Pat at that meeting? 21 Α. It does not appear that she was in that 22 particular meeting, no. But she would have been the one who 23 ο. subsequently would have received the information 24 25 to start the process?

Page 47 Correct, when I assigned it to her, if 1 Α. 2 she would have disagreed and said I don't believe that this warrants an HR review, I would have been 3 the decision maker to override that and say I 4 disagree and I'm assigning you to do this. 5 when I did refer the matter to her for human 6 7 resources review, she concurred that it warranted 8 an HR review. 9 Did you review any of the documentation ο. 10 in this matter besides like these e-mails, like the actual attached documentation? 11 12 Α. I don't recall what I reviewed at that 13 time, but I do recall of course reviewing these in 14 preparation for today. And I reviewed them in the process of the request for the academic appeal. 15 do recall reviewing them at that time in order for 16 17 us to have aligned around offering Dr. Papin the 18 option to resign in lieu of termination. involved in that discussion. 19 We'll get to that in a minute. 20 ο. 21 example, did you know that there was a recorded 22 statement where Dr. Papin met with Pat and Pam to discuss this situation? 23 24 Α. I was. 25 Q. Did you review --

Page 48 My belief was that that 1 Let me correct. Α. 2 meeting occurred with Brenda Traxler. 3 Q. You're right, it was Brenda. 4 Would you have reviewed that statement prior to your informal concurrence on the 5 termination? 6 7 Α. No, not unless there was an indication 8 that anything that Pat was putting forward was not 9 trustworthv. 10 So when you're making your Q. recommendation -- I know it was kind of informal 11 12 advisory, not an formal-formal one -- besides the 13 e-mails that document the issues, do you go and do like an independent investigation yourself and 14 15 look through any documentation that was like sent by Dr. Earl, for example, originally or you're 16 17 really just relying upon the recitations in these 18 types of e-mails? I would do that if the summary that the 19 20 business partner was providing was less than 21 thorough, then I would dig deeper into it. 22 Q. So if you read it and you were like, 23 okay, I'm satisfied, it seems to answer all the 24 questions and all of that, you wouldn't go to the 25 backup documentation?

Page 49 1 Α. Correct. 2 Q. So, do you remember here if you had to 3 go back to the backup documentation or was the 4 summary sufficient? I don't recall that I had any questions. 5 Α. 6 In your e-mail from February 15th, I was Q. 7 just reading it one more time, you discuss what we 8 discussed earlier about how some of the questions that Pam had asked were academic related and not 9 necessarily HR related, correct? 10 11 Α. Correct. 12 And at the end you described that these ο. 13 types of trainee issues can be tricky. And then 14 it looks like Cecelia responded to your e-mail two 15 days later saying that it appears tricky to me, let's plan to discuss. Do you see that? 16 17 Α. Yes. 18 Do you remember having that discussion Q. 19 with Cecelia? I do, and I recall it wasn't just 20 21 Cecelia, it was meeting with her and all of her 22 service partners. 23 When you say all of her service Q. 24 partners, who would that be? Pam Greenwood, Johnny Gilmore and Chris 25 Α.

Page 50 1 Morgan. 2 Q. All the people that are on this e-mail? Α. Correct. 3 So when her Monday, February 20th e-mail 4 Q. where she says, "Pat/Molly, thanks for making time 5 6 to speak with us," that would include everybody 7 that's copied on the e-mail? 8 Α. Correct. 9 What did you discuss at that meeting? ο. The academic policies versus employment 10 Α. 11 policies, how the employment is contingent on the 12 trainee being in the program and where each of those swim lanes reside. 13 So here, for Dr. Papin, was he 14 ο. 15 terminated under the HR policies because he was being terminated under the academic policies? 16 17 Α. No, he was being terminated for 18 violations of employment policies. 19 Which ones specifically? Q. Code of conduct and disruptive behavior. 20 Α. 21 Q. Anything else? 22 Α. No. 23 That would be based upon the facts that Q. 24 are elicited in the different paragraphs from Pat 25 Whitlock's February 6th e-mail?

Page 51 1 Α. Correct. 2 Q. You mentioned a second ago how when the 3 appeal request came through, you were involved 4 with I think pulling documentation, is that what it was or providing other information? 5 Α. Correct. 6 7 ο. What was your role in that? 8 Α. The request for appeal came through 9 Dr. Papin's then attorney, who referenced a GME policy, and in communication with the UMMC legal 10 counseling who was handling it at that time, which 11 12 was Mark Ray rather than Jamie Christian --13 MR. WHITFIELD: I'm going to object to 14 getting into what Mark Ray advised, other than that you can testify as to what you did. 15 Correct. 16 THE WITNESS: I answered 17 questions Mark had to propose a hopefully 18 amendable solution to the employment matter, which was to offer Dr. Papin the option to resign in 19 lieu of termination. 20 21 Q. (By Mr. Morgan) I do want to ask about 22 that in a second. As far as the appeal and the 23 appeal hearing goes, did you have any other 24 involvement besides answering questions for Mark 25 Ray?

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	1	A. Clarifying that a clause in one of the
	2	GME policies did not have a correct update to the
	3	contents of the then current faculty and staff
	4	handbook with regard to issues that are
	5	grieveable.
	6	Q. What was that one?
	7	A. May I refer to the faculty staff
	8	handbook?
	9	Q. Yes.
	10	A. This would be the policy from this
	11	exhibit on page 41. That's not the right number.
	12	I don't see this particular policy in the excerpt
	13	that you've provided.
	14	Q. Do you remember what the policy was?
	15	MR. WHITFIELD: Here, I've got the full
	16	book.
	17	THE WITNESS: I understand now why you
	18	didn't print the whole thing out. Page 46, which
	19	is a subset of the problems, questions and
	20	grievances policy for staff.
	21	Q. (By Mr. Morgan) And what was changed?
	22	A. Under the section issues that are
	23	grieveable, adverse employment actions such as
	24	demotions and suspensions but not including
	25	terminations.

Page 53 1 So that was then amended to include ο. 2 termination? Α. The faculty and staff handbook excludes 3 terminations as grieveable actions, but the GME 4 policies had not been amended to reflect that. 5 6 So at the time there was no grievance ο. 7 for the termination under the HR side? 8 Α. Correct. 9 Only on the academic side? ο. 10 Α. Correct. 11 Q. But then that was changed? 12 Α. When you say it? 13 Q. Good question. The policy you were just referring to? 14 In the faculty staff handbook? 15 Α. 16 Q. Yes. 17 No, the faculty staff handbook remains Α. 18 as written that terminations are not grieveable. 19 So, you were just clarifying that Q. Okav. point; is that what you were saying? 20 I thought a 21 second ago you said there was an amendment to a 22 policy? 23 I believe the GME policy made reference to terminations being grieveable which was 24 inconsistent with the faculty and staff handbook. 25

1	Q. How was that consistency fixed or is it				
2	not fixed?				
3	A. I believe the academic group amended				
4	their policies to reflect current employment				
5	policies.				
6	Q. If you know, the academic policies now				
7	say termination is not a grieveable?				
8	A. I am unaware of what they say.				
9	Q. You mentioned the offer of resignation				
10	to Dr. Papin. If you could, tell me about that				
11	and how that came about?				
12	A. That came as part of my consult to UMMC				
13	legal counsel to allow him to continue				
14	conversation with Dr. Papin's attorney.				
15	Q. And how did it start? Did you say hey,				
16	by the way, we can offer resignation or was it				
17	asked and requested of you?				
18	MR. WHITFIELD: Can we go off the record				
19	for just a second?				
20	MR. MORGAN: Sure.				
21	(Off the record.)				
22	MR. WHITFIELD: We just had a				
23	conversation off the record regarding privilege				
24	and inquiring into a matter that was concerning an				
25	offer made to opposing counsel at the time. We're				

Page 55 going to -- we've agreed to get into that without 1 2 waiving any other -- without waiving legal privilege globally and we'll confine it to just 3 the discussions of the offer that was authorized 4 to be made without waiving any attorney-client 5 privilege. 6 7 (By Mr. Morgan) So Ms. Brasfield, walk ο. 8 me through, then, the offer of resignation how 9 that came up and what your role was in it? Anytime we have former employees that 10 11 are dissatisfied with the outcomes, my interest 12 is, whenever possible, to find an amenable 13 mutually agreed set of terms and to avoid this 14 sort of scenario we're in right now. And as part of understanding Dr. Papin's displeasure with the 15 outcome looking at potential ways to meet his 16 17 desired outcome was my interest, and part of a 18 concession I was willing to make was to provide the option of resigning in lieu of termination. 19 20 My understanding was that was one of Dr. Papin's 21 concerns. 22 Q. And so who first brought up the idea of 23 resignation, was that you --24 Α. I did. 25 So, that would have just been in one of Q.

Page 56 1 the discussions with counsel, you said hey, why 2 don't we offer this? Α. Yes. 3 4 Did you have to get any sort of approval Q. 5 for that from anyone else? Α. No. 6 7 Did you have to go talk to Dr. Earl or ο. 8 Dr. Barr or anybody like that? 9 Α. No. 10 Or talk to Cecelia Bass? 0. 11 I would have typically conferred with Α. 12 I don't specifically remember doing so 13 in this case, but that is typical, yes. Is there -- I don't want to say a line 14 ο. 15 in the sand, but that's really the only word I can think of -- but some line where you say, hey, you 16 17 know what, up to this line we'll offer resignation 18 but if something is just so egregious, no chance we would ever offer in lieu of resignation? 19 20 Α. Correct. 21 Q. There is a line? 22 Α. Yes. 23 Where is that line? Where does that Q. line trigger? 24 25 An example would be someone whose Α.

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- 1 behavior was so egregious that not having a
- 2 termination for cause as the final outcome would
- 3 allow them future opportunities in the medical
- 4 field that we would feel uncomfortable with. An
- 5 example of that would be sexual misconduct or
- 6 harassment.
- 7 Q. But here you did feel comfortable enough
- 8 to offer the resignation so that Dr. Papin could
- 9 try to remain in the medical field?
- 10 A. Correct.
- 11 Q. And so you instructed Mark Ray to make
- 12 this offer to Dr. Papin's original attorney?
- 13 A. Yes.
- 14 Q. And then were you ultimately told
- 15 that -- what happened after that in regards to the
- 16 offer of resignation, did you ever hear about it
- 17 again?
- 18 A. I received input that the offer was
- 19 declined.
- 20 Q. And then was it ever discussed again or
- 21 that was basically the end of it?
- 22 A. Only referred to in subsequent
- 23 conversations in preparation for and throughout
- 24 the hearing for the academic piece.
- Q. Did you know that or hear that Dr. Papin

Page 58 1 had discussed resigning with Dr. Earl? 2 Α. No. 3 So when you say the resignation piece Q. 4 was discussed on the academic side in preparation 5 for the appeal, in what way? How would that have come up? 6 7 Α. The preparation of Dr. Steve Bondi as 8 the chair of the appeals committee and the hearing 9 process. 10 Were you involved in helping prepare Q. 11 Dr. Bondi for the hearing? 12 Α. No. 13 Q. Were you involved in helping set the hearing process? 14 15 Α. No. 16 Q. So, when you say that, what do you mean 17 A second ago you just said those two 18 items. Can you expand a little bit more on that, 19 please? 20 I have seen as part of the preparation 21 for this correspondence in which that topic was 22 part of that academic process. 23 Where it's talking about the hearing ο. process, it's mentioning in there that an offer of 24 25 resignation had been made?

Page 59 1 Α. Correct. 2 Q. Give me one second. Let's go off for 3 just a second. MR. WHITFIELD: I'm going to interpose 4 5 an objection that if that was covered in attorney-client conversations in reference to 6 7 other matters then it's privileged and not subject 8 to disclosure. She didn't identify who the correspondence was from, but if it was from 9 University counsel, that would have been 10 11 privileged and I would object. 12 (Off the record.) (Exhibit 6 marked for identification.) 13 14 Ο. (By Mr. Morgan) Ms. Brasfield, I've 15 handed you Exhibit 6, which is a letter from you to Dr. Papin's original attorney, correct? 16 17 Α. Correct. 18 Did you draft this letter? Q. In consult with UMMC legal, yes. 19 Α. Did you do the first draft and then 20 ο. 21 consult it or how did it work? I don't recall. 22 Α. 23 Do you know why this letter was sent in Q. July when Dr. Papin was terminated in February, 24 Why it took so long to send 25 five months before?

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- 1 this letter?
- A. My recollection is that during that
- 3 period of time UMMC legal was working with
- 4 Dr. Papin's attorney on issues, the terms, what
- 5 the questions were, in an attempt to work through
- 6 those questions.
- 7 Q. Your first line says, "This is in
- 8 receipt of your letter of March 3rd, " so that
- 9 would have been four months before if my math is
- 10 right?
- 11 A. Correct.
- 12 Q. So, from that point until here, there
- 13 was discussions being had. At some point those
- 14 discussions obviously broke down and then you made
- 15 this formal response?
- 16 A. I wasn't aware that communications broke
- 17 down. My understanding was that UMMC legal
- 18 counsel was in communication with Dr. Papin's then
- 19 attorney.
- Q. Okay. At the third paragraph of this
- 21 letter it states that counsel will not be allowed
- 22 to participate and shall be there in an advisory
- 23 capacity. Is that a decision you made or did you
- 24 get that from somewhere else?
- 25 A. That would have been under advisement in

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     consult with UMMC legal.
 2
                MR. MORGAN: I don't have anymore
     questions.
 3
 4
                MR. WHITFIELD: No questions.
 5
                  (Time Noted:
                                 10:45 a.m.)
                     SIGNATURE/NOT WAIVED
 6
 7
     ORIGINAL:
                 MR. MORGAN, ESQ.
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     COPY: MR. WHITFIELD, ESQ.
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1	Page 62 CERTIFICATE OF DEPONENT
2	DEPONENT: Molly Brasfield, CORPORATE REPRESENTATIVE
3	OF UMMC PURSUANT TO RULE 1.310(b)(6) DATE: January 21, 2021
4	CASE STYLE: Papin vs. UMMC, et al ORIGINAL TO: Mr. Morgan, ESQ.
5	I, the above-named deponent in the deposition taken in the herein styled and numbered
6	cause, certify that I have examined the deposition taken on the date above as to the correctness
7	thereof, and that after reading said pages, I find them to contain a full and true transcript of the
8	testimony as given by me. Subject to those corrections listed below,
9	if any, I find the transcript to be the correct testimony I gave at the aforestated time and place.
10	Page Line Comments
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18	This the day of, 2021.
19	
20	Molly Brasfield State of Mississippi
21	County of
22	Subscribed and sworn to before me, this the, 2021.
23	My Commission Expires:
24	
25	Notary Public

1	Page 63 CERTIFICATE OF COURT REPORTER
2	I, Robin G. Burwell, Court Reporter and
3	Notary Public, in and for the State of Mississippi,
4	hereby certify that the foregoing contains a true
5	and correct transcript of the testimony of Molly
6	Brasfield, as taken by me in the aforementioned
7	matter at the time and place heretofore stated, as
8	taken by stenotype and later reduced to typewritten
9	form under my supervision by means of computer-aided
10	transcription.
11	I further certify that under the authority
12	vested in me by the State of Mississippi that the
13	witness was placed under oath by me to truthfully
14	answer all questions in the matter.
15	I further certify that, to the best of my
16	knowledge, I am not in the employ of or related to
17	any party in this matter and have no interest,
18	monetary or otherwise, in the final outcome of this
19	matter.
20	Witness my signature and seal this the
21	28th day of January, 2021.
22	Robin G. Burwell
23	ROBIN G. BURWELL, #1651
24	CRR, RPR, CCR My Commission Expires:
25	April 6, 2021

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